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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of :  
 Joel S. Echols, et al. :Group Art Unit: 1615  
 Serial No. 10/688,539 :Examiner: Gollamudi S. Kishore  
 Filed: October 17, 2003 :  
 For THREE LAYER ARTIFICAL TEAR FORMULATION

**INFORMATION DISCLOSURE STATEMENT  
 WITHIN 3 MONTHS OF FILING OR PRIOR TO FIRST OFFICE ACTION**

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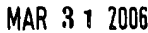
A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98. This paper is submitted in accordance with 37 CFR 1.97(b) (within three (3) months of the application filing date or prior to a first Office Action on the merits).

*3/29/06*

Date

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Sheet 1

of 1

**Complete if Known**

Application Number	10/688,359
Filing Date	10-17-2003
First Named Inventor	Echols
Art Unit	1615
Examiner Name	Gollamudi S. Kishore
Attorney Docket Number	32328US02

## U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (# known)				
		EP 1 020 194 A1	07-19-2000	Wakamoto Pharmaceutical		
		WO 83/00091	01-20-1983	Keith		
		EP 0 448 762 A1	10-02-1991	Kaufman		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

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